

Please type a plus sign (+) inside this box →

+

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number 09/809,768
Filing Date 03-15-2001
First Named Inventor Dale Franklin GRAY
Group Art Unit 2161
Examiner Name Unassigned
Attorney Docket Number 57940.000002

U.S. PATENT DOCUMENTS

Cite No. ¹	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
U1	5,812,988		Sandretto	9-22-1998	
U2	5,864,828		Atkins	01-26-1999	
U3	5,884,287		Edesess	03-16-1999	
U4	5,884,283		Manos	03-16-1999	
U5	5,911,136		Atkins	06-08-1999	
U6	5,918,217		Maggioncalda et al.	06-29-1999	
U7	5,930,774		Chennault	07-27-1999	
U8	5,991,743		Irving et al.	11-23-1999	
U9	6,003,018		Michaud et al.	12-14-1999	
U10	6,012,043		Albright et al.	01-04-2000	
U11	6,021,397		Jones et al.	02-01-2000	
U12	6,078,903		Kealhofer	06-20-2000	
U13	6,078,904		Rebane	06-20-2000	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	F1		97/39415	WO	Citibank, N.A.	10-23-1997		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	P1	WILLIAM FALLOON; <i>Patent power: who owns the ideas that drive derivatives?</i> ; Risk; December 1999; pp. 22-24, 26-27; Vol. 12 No. 12; Risk Publications; London, UK.	
	P2	DALE F. GRAY; <i>Assessment of Corporate Sector Value and Vulnerability, Links to Exchange Rate and Financial Crises</i> ; World Bank Technical Paper No. 455; November 1999; The World Bank, Washington, D.C.	

Examiner
Signature

Date
Considered

6/19/06

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

OIP E JC152
JUN 18 2001
PATENT & TRADEMARK OFFICE

RECEIVED
JUN 21 2001
Group 2100